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NOV 06 2006

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	1	of	2
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**Complete if Known**

<b>Application Number</b>	10/734,589; Confirmation 2607
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<b>Filing Date</b>	<b>12/15/2003</b>
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<b>First Named Inventor</b>	<b>Govindan</b>
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Art Unit	1642
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<b>Examiner Name</b>	<b>Brandon J. Fetterolf</b>
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<b>Attorney Docket Number</b>	<b>328884</b>
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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

/Brandon Fetterolf/

Date Considered

01/02/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

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Application Number	10/734,589; Confirmation 2607
Filing Date	12/15/2003
First Named Inventor	Govindan
Art Unit	1642
Examiner Name	Fetterolf
Attorney Docket Number	328884

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	6	KING, H. DALTON, et al., "Monoclonal Antibody Conjugates of Doxorubicin Prepared with Branched Linkers: A Novel Method for Increasing the Potency of Doxorubicin Immunconjugates" Bioconjugate Chem., 1999, 10, 276-288 XP-000804254	
	7	CHARI, RAVI V. J., et al., "Immunoconjugates Containing Novel Maytansinoids: Promising Anticancer Drugs" 6175 Cancer Research 52 (1992) 1 January, No. 1, Baltimore, MD, US	
	8	HEINDEL, NED D., et al., "A Novel Heterobifunctional Linker for Formyl to Thiol Coupling" Bioconjugate Chem., 1991, 2, 427-430 XP-000983437	
	9	ROWLINSON-BUSZ, GAIL, et al., "Targeted delivery of Biologic and other Antineoplastic Agents" Current Opinion in Oncology 1992, 4:1142-1148 XP009027478	

Examiner Signature	BF/Brandon Fetterolf/	Date Considered	01/02/2007
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****( Not for submission under 37 CFR 1.99)**

Application Number	10734589
Filing Date	2003-12-15
First Named Inventor	Serengulam V. Govindan
Art Unit	1642
Examiner Name	Brandon J. Fetterolf
Attorney Docket Number	328884

BF	1	TRAIL, P. A., et al., "Carcinoma Reactive Doxorubicin (DOX) Conjugates: Comparison of BR64-DOX Conjugates Prepared with Disulfide or Thioether Linkers," Proceedings of the American Association for Cancer Research, Vol. 34, March 1993, #2858, pg. 479	<input type="checkbox"/>
BF	2	SHIH, Lisa B., et al., "The Processing and Fate of Antibodies and Their Radiolabels Bound to the Surface of Tumor Cells In Vitro: A Comparison of Nine Radiolabels" J Nucl Med 1994; 35:899-908	<input type="checkbox"/>
BF	3	KREITMAN, Robert J., et al., "Pseudomonas Exotoxin-based Immunotoxins Containing the Antibody LL2 or LL2-Fab' Induce Regression of Subcutaneous Human B-Cell Lymphoma in Mice" Cancer Research 53, 819-825, February 15, 1993	<input type="checkbox"/>
BF	4	GUILLEMARD, VERONIQUE, et al., "Taxane-Antibody Conjugates Afford Potent Cytotoxicity, Enhanced Solubility, and Tumor Target Selectivity" Cancer Research 61, 694-699, January 15, 2001	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Brandon Fetterolf/	Date Considered	01/02/2007
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number	10734589
Filing Date	2003-12-15
First Named Inventor	Serengulam V. Govindan
Art Unit	1642
Examiner Name	Brandon J. Fetterolf
Attorney Docket Number	328884

## CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

## SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Richard A. Nakashima	Date (YYYY-MM-DD)	2006-11-15
Name/Print	Richard A. Nakashima	Registration Number	42,023

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